

Let $f(x, y) = x^2 + y^2 - 4x - 6y + 2$.

Then $f_x(x, y) = 2x - 4 \Rightarrow 2x - 4 = 0 \Rightarrow x = 2$

$f_y(x, y) = 2y - 6 \Rightarrow 2y - 6 = 0 \Rightarrow y = 3$

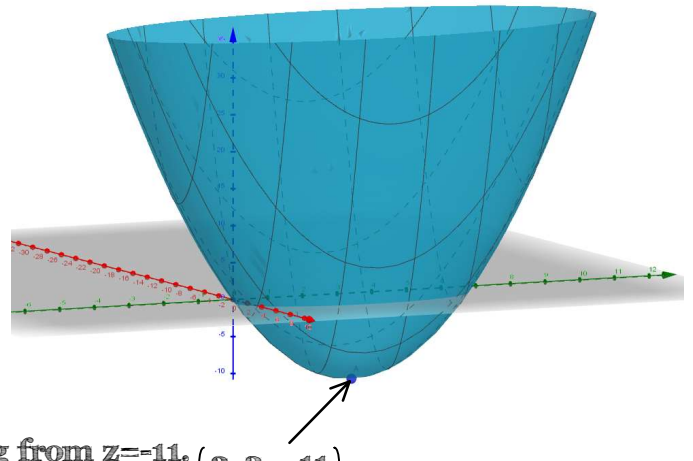
So there is a critical point at $(2, 3)$.

If we complete the square, we get

$$f(x, y) = \left(x - \frac{4}{2}\right)^2 - \left(\frac{4}{2}\right)^2 + \left(y - \frac{6}{2}\right)^2 - \left(\frac{6}{2}\right)^2 + 2$$

$$f(x, y) = (x - 2)^2 - 4 + (y - 3)^2 - 9 + 2 = (x - 2)^2 + (y - 3)^2 - 11$$

Notice that $(x - 2)^2 \geq 0$ and $(y - 3)^2 \geq 0$, so these make the values of f just get bigger and bigger, starting from $z = -11$. $(2, 3, -11)$



For $f(x, y) = y^2 - x^2$, we get :

$$f_x = -2x \Rightarrow -2x = 0 \Rightarrow x = 0$$

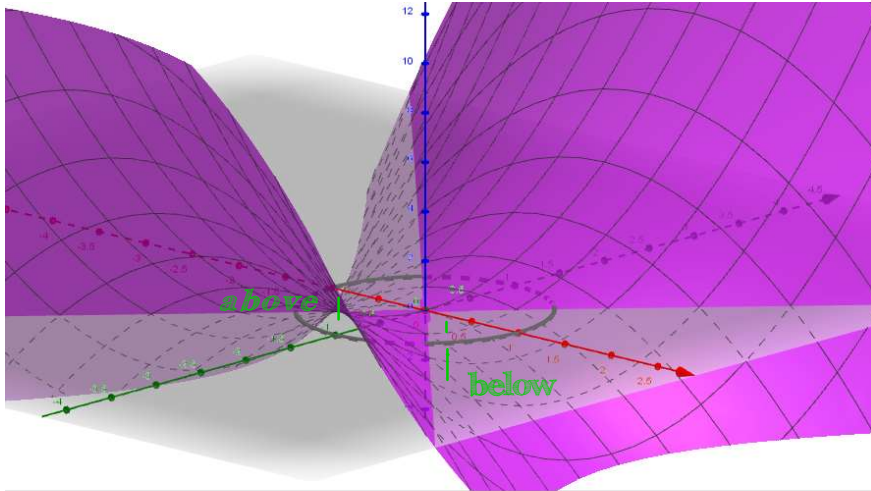
$$f_y = 2y \Rightarrow 2y = 0 \Rightarrow y = 0$$

When we move along the x axis, we get $f(x, 0) = -x^2 < 0, (x \neq 0)$

When we move along the y axis, we get $f(0, y) = y^2 > 0, (y \neq 0)$

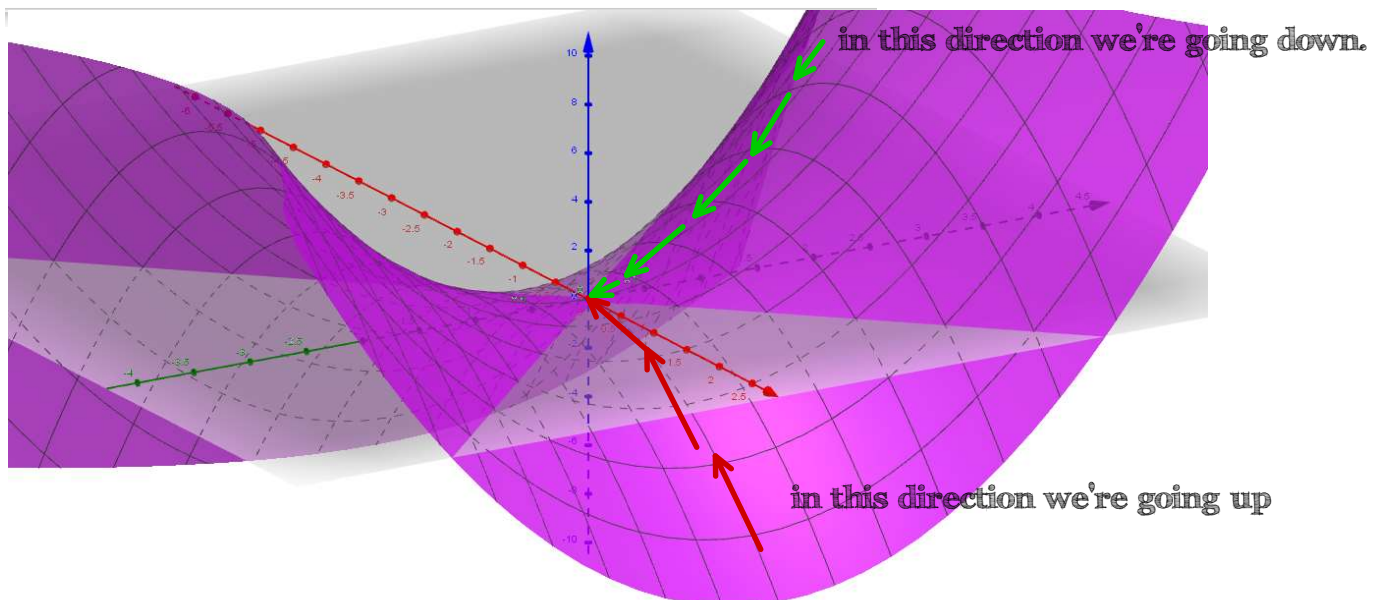
So every disk with center $(0, 0)$ contains points giving values of f above and below the xy plane.

Since this is the case, $(0, 0)$ doesn't give an extreme value.



The graph shows one sample disk where there are points such that $f > 0$ and $f < 0$.

Since this surface looks like a saddle, the point $(0, 0)$ is called a saddle point of f .



Second Partial Derivatives Test:

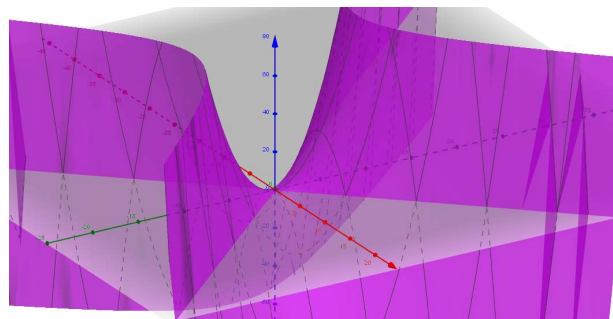
Suppose the second partial derivatives of f are continuous on a disk with center (a,b) , and suppose that $f_x(a,b)=0$ and $f_y(a,b)=0$ [That is, (a,b) is a critical point of f]. It can be proved using Taylor's Formula that we can study the behavior of f using the following: (Section 14.1, Thomas Calculus, 11th edition has a proof.)

$$D(a,b) = f_{xx}(a,b)f_{yy}(a,b) - [f_{xy}(a,b)]^2 \text{ and the following applies:}$$

- i. If $D > 0$ and $f_{xx}(a,b) > 0$, then $f(a,b)$ is a local minimum. (Graph goes up in all directions.)
- ii. If $D > 0$ and $f_{xx}(a,b) < 0$, then $f(a,b)$ is a local maximum. (Graph goes down in all directions.)

iii. If $D < 0$, then $f(a,b)$ is not a local minimum or maximum.

In case iii., (a,b) is called a saddle point of f and the graph of f crosses its tangent plane at (a,b) .



If $D=0$, the test is inconclusive. We could have a saddle point, a minimum or a maximum.

To remember this formula, write in determinant form:

$$\begin{vmatrix} f_{xx} & f_{xy} \\ f_{yx} & f_{yy} \end{vmatrix} = f_{xx}f_{yy} - (f_{yx})^2, \text{ since } f_{yx} = f_{xy}$$

Find the local max. and min. values and saddle points of

$$f(x,y) = x^4 + y^4 - 4xy + 1$$

$$f_x = 4x^3 - 4y \Rightarrow 4x^3 - 4y = 0 \Rightarrow \text{divide 4 out} \Rightarrow x^3 = y \text{ (solve for y)}$$

$$f_y = 4y^3 - 4x \Rightarrow 4y^3 - 4x = 0 \Rightarrow \text{divide 4 out} \Rightarrow y^3 = x \text{ (solve for x)}$$

In $y^3 = x$, replace y with x^3 from the first one, to get $(x^3)^3 = x \Rightarrow x^9 = x$

now solve this:

$$x^9 - x = 0$$

$$x(x^8 - 1) = 0$$

$$x((x^4)^2 - 1) = 0$$

$$x(x^4 - 1)(x^4 + 1) = 0$$

$$x(x^2 - 1)(x^2 + 1)(x^4 + 1) = 0$$

This is zero when $x=1$, or -1 , or 0 , from $x=0$, $x^2 - 1 = 0$. ($x^2 + 1$) and $(x^4 + 1)$ are never 0.

So we have three real roots: $-1, 0, 1$.

To create $D(x,y)$, we need the second partials:

$$f_{xx} = \frac{\partial}{\partial x} f_x = 12x^2$$

$$f_{yy} = \frac{\partial}{\partial y} f_y = 12y^2$$

$$f_{xy} = \frac{\partial}{\partial y} f_x = \frac{\partial}{\partial y} (4x^3 - 4y) = -4$$

We can now write

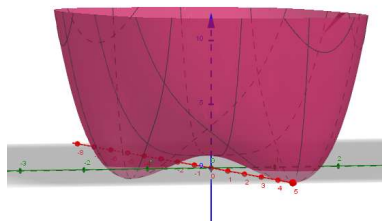
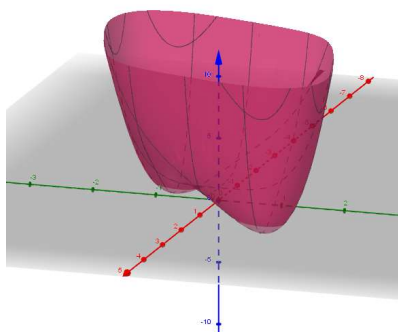
$$D(x,y) = (12x^2) \cdot 12y^2 - (-4)^2 = 144x^2y^2 - 16$$

We now test:

$D(0,0) = -16 < 0$, we have that the origin is a saddle point. (no max or min at $(0,0)$)

When $x=1$, we have $y=(1)^3 = 1$, so we have $D(1,1) = 144 - 16 = 128 > 0$, and $f_{xx}(1,1) = 12 > 0$, so we have a local local min at $(1,1)$, where $f(1,1) = 2 - 4 + 1 = -2 + 1 = -1$

When $x=-1$, we have $y=(-1)^3 = -1$, so we get $D(-1,-1) = 144(-1)^2 - 16 = 144 - 16 = 128 > 0$ and $f_{xx}(-1,-1) = 12(-1)^2 = 12 > 0$, so we get a local minimum again.



As the graph shows, we have two points $(1,1)$ and $(-1,-1)$ where there are minimums and a saddle point on $(0,0)$.

For both $(1,1)$ and $f(-1,-1)$ we get $f(1,1) = f(-1,-1) = -1$ as the lowest value of z .

Find and classify the critical points of the function

$$f(x, y) = 10x^2y - 5x^2 - 4y^2 - x^4 - 2y^4$$

$$f_x = 20xy - 10x - 4x^3 \Rightarrow \text{factor } 2x \Rightarrow 2x(10y - 5 - 2x^2) = 0$$

$$f_y = 10x^2 - 8y - 8y^3 \Rightarrow 10x^2 - 8y - 8y^3 = 0 \Rightarrow \text{divide } 2 \text{ away} \Rightarrow 5x^2 - 4y - 4y^3 = 0$$

$$\text{for } 2x(10y - 5 - 2x^2) = 0:$$

$$x = 0 \text{ or } 10y - 5 - 2x^2 = 0$$

$$\text{When } x=0, 5x^2 - 4y - 4y^3 = 0$$

$$\text{becomes } -4y - 4y^3 = 0$$

$$-4y(1 + y^2) = 0$$

$$\text{so } y=0 \text{ from } -4y=0$$

$$\text{and } 1+y^2 \text{ is never } 0.$$

Thus, we get the critical point $(0,0)$.

Using the values we have on the right from the graph, we get

$$x^2 = 5y - 2.5$$

$$x = \pm \sqrt{5y - 2.5}$$

$$y \approx -2.5452 \Rightarrow x = \pm \sqrt{5(-2.5452) - 2.5}$$

not a real result here

$$y \approx 0.6468 \Rightarrow x = \pm \sqrt{5 \cdot 0.6468 - 2.5}$$

$$= \pm \sqrt{0.7340}$$

$$= \pm 0.856738$$

$$y = 1.8984 \Rightarrow x = \pm \sqrt{5 \cdot 1.8984 - 2.5}$$

$$= \pm 2.644239$$

$$\text{When } 10y - 5 - 2x^2 = 0 \text{ we get}$$

$$-2x^2 = -10y + 5$$

$$x^2 = +5y - 2.5$$

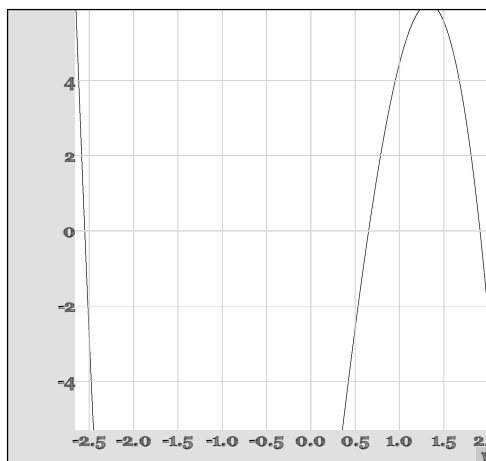
$$\text{We can plug this in for } x^2 \text{ in } 5x^2 - 4y - 4y^3 = 0$$

$$\text{to get } 5(5y - 2.5) - 4y - 4y^3 = 0$$

We have to solve this system.

We can graph this equation and look for the roots to make a reasonable estimate.

We can estimate the roots to be about
 $y \approx -2.5452$
 $y = 0.6468$
and $y \approx 1.8984$



So all our points are the following:

$$(0, 0)$$

$$(\pm 2.644239, 1.8984)$$

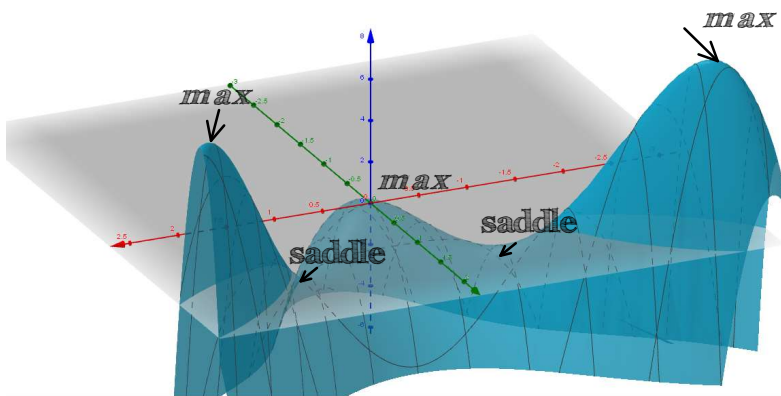
$$(\pm 0.856738, 0.6468)$$

$$D(x, y) = f_{xx}f_{yy} - f_{xy}^2 = (20y - 10 - 12x^2)(-8 - 24y^2) - (20x)^2$$

$$D(0, 0) = (-10)(-8) = 80 > 0, f_{xx}(0, 0) = -10 < 0, \text{ so we have a local max at } (0, 0).$$

$$D(\pm 2.644239, 1.8984) = 2488.72 > 0, f_{xx}(\pm 2.644239, 1.8984) = -55.93 < 0, \text{ so we have a local max}$$

$$D(\pm 0.856738, 0.6468) = -187.64, \text{ so we have a saddle point.}$$



The graph of the surface confirms the math above.

Find the shortest distance from the point $(1,0,-2)$ to the plane $x+2y+z=4$.

distance from any point (x,y,z) to the point $(1, 0, -2)$ is $d = \sqrt{(x-1)^2 + (y-0)^2 + (z-(-2))^2}$

$$\sqrt{(x-1)^2 + y^2 + (z+2)^2}$$

$$= \sqrt{(x-1)^2 + y^2 + (z+2)^2}$$

Solve for z in the plane:

$$z = 4 - x - 2y$$

Plug into distance function: $d(x,y) = \sqrt{(x-1)^2 + y^2 + (4-x-2y+2)^2} = \sqrt{(x-1)^2 + y^2 + (6-x-2y)^2}$

We can minimize d by minimizing the expression under the root symbol:

$$d^2 = f(x,y) = (x-1)^2 + y^2 + (6-x-2y)^2$$

$$f_x = 2(x-1) + 2(6-x-2y)(-1) = 2x-2-12+2x+4y = 4x+4y-14 \Rightarrow f_{xx} = 4$$

$$f_y = 2y + 2(6-x-2y)(-2) = 2y + (12-2x-4y)(-2) = 2y-24+4x+8y = 4x+10y-24 \quad f_{yy} = 10$$

$$4x+4y-14=0$$

$$4x+4y-14=0 \quad \text{add down}$$

$$4x+10y-24=0 \Rightarrow -1(4x+10y-24=0) \Rightarrow -4x-10y+24=0 \Rightarrow -4x-10y+24=0$$

Using $x = \frac{5}{3}$, we get $4(x) + 4 \cdot \frac{5}{3} - 14 = 0$

$$-6y = 14 - 24$$

$$4x = -\frac{20}{3} + 14$$

$$-6y = -10$$

$$4x = \frac{-20}{3} + \frac{42}{3}$$

$$y = \frac{10}{6} = \frac{5}{3}$$

$$4x = \frac{22}{3}$$

$$x = \frac{22}{12} = \frac{11}{6}$$

$$f_{xy} = \frac{\partial}{\partial y} f_x = \frac{\partial}{\partial y} (4x+4y-14) = 4$$

Create $D(11/6, 5/3) = 4 \cdot 10 - 4^2 = 40 - 16 = 24 > 0$, $f_{xx}(11/6, 5/3) = 4 > 0$, so the point

$(11/6, 5/3)$ gives a local minimum. In this case, the minimum distance is found as follows:

$$d(11/6, 5/3) = \sqrt{(x-1)^2 + y^2 + (6-x-2y)^2} = \sqrt{(11/6-1)^2 + (5/3)^2 + (6-11/6-2(5/3))^2} = 2.0412 \text{ units.}$$

A rectangular box without a lid is to be made from $12m^2$ of cardboard. Find the maximum volume of such a box.

The volume of the box is $V=xyz$. Just multiply the edge lengths together.

The surface area is $2xz+2yz+xy=12$.

The surface is side+side+bottom+front+back.

We can make the volume into a function of x, y alone by solving for z in plane:

$$2xz+2yz=12-xy$$

$$z(2x+2y)=12-xy$$

$$z = \frac{12-xy}{2x+2y}$$

$$\text{So } V = xy \cdot \frac{12-xy}{2x+2y} = \frac{12xy - x^2y^2}{2x+2y}$$

$$\text{So we get } V(x,y) = \frac{12xy - x^2y^2}{2x+2y}$$

$$f_x = \frac{\partial}{\partial x} \frac{12xy - x^2y^2}{2x+2y} = \frac{\partial}{\partial x} (12xy - x^2y^2)(2x+2y)^{-1}$$

$$(uv)' = uv' + u'v = (12y - 2xy^2)(2x+2y)^{-1} + (12xy - x^2y^2)(-1)(2x+2y)^{-2}(2)$$

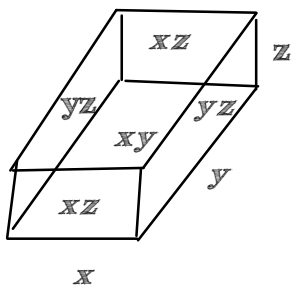
$$= (2x+2y)^{-2} [(12y - 2xy^2)(2x+2y) - 2(12xy - x^2y^2)]$$

$$= \frac{(12y - 2xy^2)(2x+2y) - 2(12xy - x^2y^2)}{(2x+2y)^2}$$

$$= \frac{24xy + 24y^2 - 4x^2y^2 - 4xy^3 - 24xy + 2x^2y^2}{(2x+2y)^2}$$

$$= \frac{-2x^2y^2 + 24y^2 - 4xy^3}{(2x+2y)^2}$$

$$= \frac{-2x^2y^2 + 24y^2 - 4xy^3}{(2x+2y)^2}$$



$$= \frac{2y^2(-x^2+12-2xy)}{2^2(x+y)^2}$$

$$= \frac{y^2[12-2xy-x^2]}{2(x+y)^2}$$

A lot of similar work shows that $\frac{\partial}{\partial y} \frac{12xy-x^2y^2}{2x+2y} = \frac{x^2(12-2xy-y^2)}{2(x+y)^2}$

Setting these equal to 0 gives us

$$\frac{x^2(12-2xy-y^2)}{2(x+y)^2} = 0 \Rightarrow x^2(12-2xy-y^2) = 0 \Rightarrow x=0, \text{ or } 12-2xy-y^2 = 0$$

$$\frac{y^2[12-2xy-x^2]}{2(x+y)^2} = 0 \Rightarrow y^2(12-2xy-x^2) = 0 \Rightarrow y=0, \text{ or } 12-2xy-x^2 = 0$$

With $x=0, y=0$, we don't have a volume, so solving for x^2 and y^2 we get

$$12-2xy = y^2$$

$$12-2xy = x^2$$

Equating these we get $x^2 = y^2$, and since $x > 0, y > 0$ for physical reasons(it's a box), we get $x = y$. Replacing y with x in $12-2xy-x^2 = 0$, we get $12-2x^2-x^2 = 0 \Rightarrow 12-3x^2 = 0 \Rightarrow 3x^2 = 12$

$$\text{When } x=2, y=2 \text{ also. } V = \frac{12xy-x^2y^2}{2x+2y} = \frac{12(2)(2)-2^2 \cdot 2^2}{2 \cdot 2+2 \cdot 2} = \frac{48-16}{8} = \frac{32}{8} = 4 \Rightarrow x^2 = 4$$

$$\Rightarrow x = 2 \text{ (-2 not physical)}$$

So we get $x=2, y=2, z=1$. As the graph of the volume shows, we're at $z=1$ at $x=2, y=2$.

